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A Variable Neighborhood Search approach for the aircraft conflict resolution problem

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Joint work with:

A. Alonso-Ayuso, L.F. Escudero, N. Mladenović



Introduction

Variable Neighborhood Search (VNS)

The Velocity, Turn and Altitude Changes model (VTAC)

Multi-objective methods

Computational experience

Conclusions and future research



Introduction

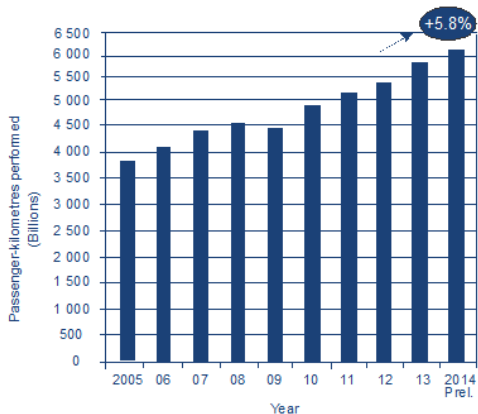
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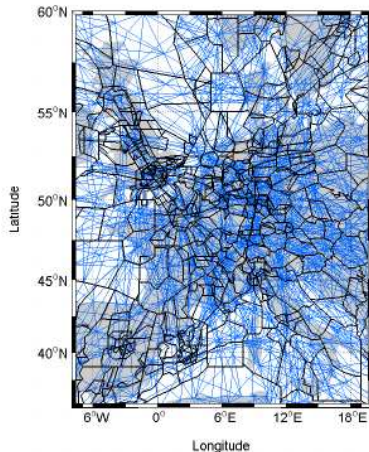
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**Figure 1. Total scheduled traffic
(revenue passenger-kilometres performed, 2005-2014)**

- ▶ An air sector is considered.
- ▶ Enroute phase.
- ▶ Short term (up to 5 minutes).
- ▶ Static.



A. Lau, J. Berling, F. Linke, V. Gollnick, K. Nachtigall. Large-Scale Network Slot Allocation with Dynamic Time Horizons



Given a set of flight configurations (waypoints, velocities, angles of motion, altitude level, etc.)



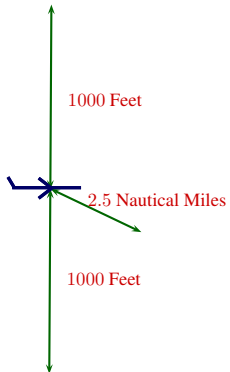
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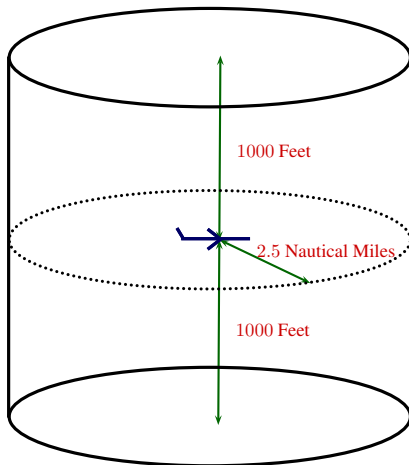
Problem objective

What control strategy should be followed by the pilots and the air traffic service provider to prevent the aircraft from coming too close to each other?

Conflict definition: It is an event in which two or more aircraft experience a loss of minimum separation.









In order to avoid conflict situations, maneuvers that an aircraft can perform:



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Types of maneuvers

- ▶ Horizontal:
 - ▶ **Velocity** changes.
 - ▶ **Heading angle** changes.



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Types of maneuvers

- ▶ Horizontal:
 - ▶ **Velocity** changes.
 - ▶ **Heading angle** changes.
- ▶ Vertical:
 - ▶ **Altitude** changes.



Authors who have worked on the topic with mathematical optimization (among others):

- ▶ N. Durand, G. Granger and S. Cafieri.
- ▶ L. Pallottino, E. Feron and A. Bicchi.
- ▶ A.G. Richards and J.P. How.
- ▶ M.A. Christodoulou and C. Costoulakis.
- ▶ J. Omer, J. Farges and T. Lehouillier.
- ▶ M. Soler, M. Kamgarpour, J. Lloret and J. Lygeros
- ▶ C. Peyronne, A.R. Conn, M. Mongeau and D. Delahaye.
- ▶ D. Rey, C. Rapine, V. Dixit, S.T. Waller, R. Fondacci and N.E. El Faouzi.
- ▶ A. Vela and S. Solak.



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- ▶ Introduced by Mladenović and Hansen (1995).
- ▶ It has been successfully applied to many combinatorial optimization problems among others.



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Facts

- ▶ A local minimum with respect to one neighborhood structure is not necessary so for another.
- ▶ A global minimum is a local minimum with respect to all possible neighborhood structures.
- ▶ For many problems local minima with respect to one or several neighborhoods are relatively close to each other.

Basic idea: • Escape from local optima trap by changing the neighborhood structure.



Basic VNS

- Select a set of neighborhoods

$$N = \{N_1, \dots, N_{k_{max}}\}$$
- Do while stop
 - $k=1$
 - Do while ($k < k_{max}$)
 - Shaking
 - Local search
 - Move or not



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Pallottino, Feron and Bicchi (2002), “*Conflict resolution problems for air traffic management systems solved with mixed integer programming*”, **IEEE, Transactions on Intelligent Transportation Systems** 3(1), 3–11.



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Two different MILO models are studied: Velocity (VC) and Heading Angle Changes (HAC).



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Features of the VC model

- ▶ It does not allow neither **altitude** nor **heading angle** changes causing several infeasible situations.
- ▶ It is incomplete due to specific **cases that are not solved**.
- ▶ It is based on **geometric constructions**.

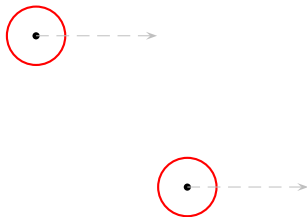


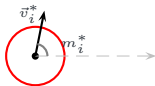
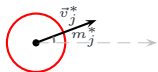


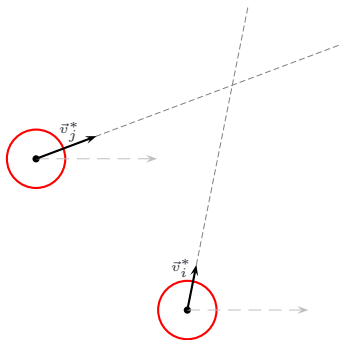
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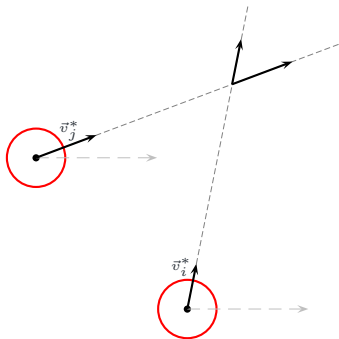
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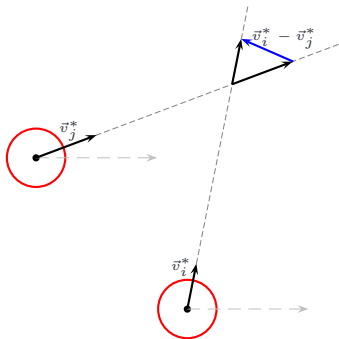


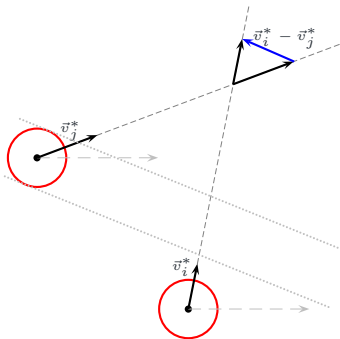


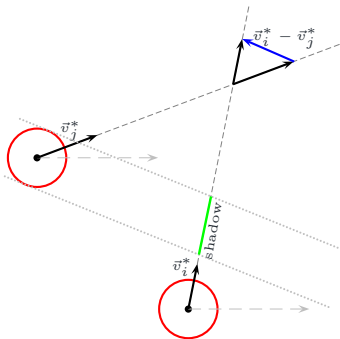




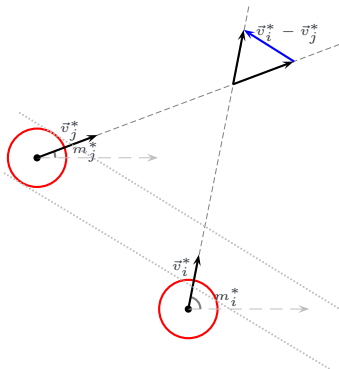






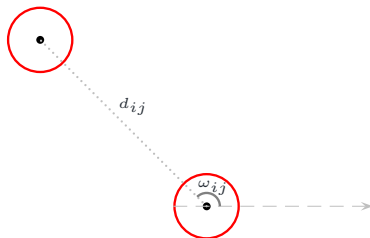


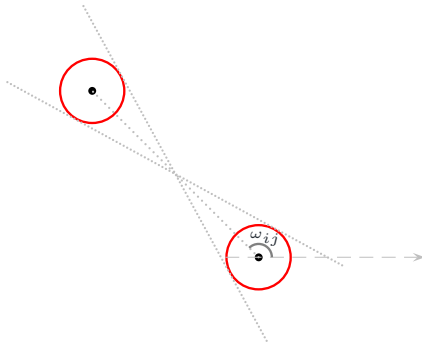


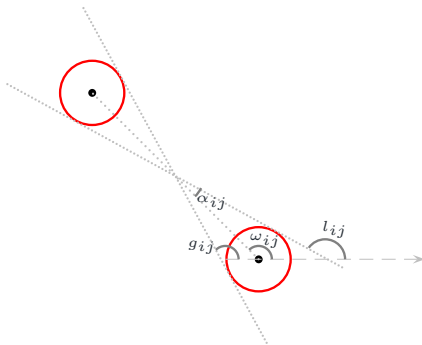














No conflict constraint (aircraft at same altitude level)

$$\frac{(v_i^* \sin(m_i^*) - (v_j^* \sin(m_j^*)))}{(v_i^* \cos(m_i^*) - (v_j^* \cos(m_j^*)))} \geq \tan(l_{ij})$$

or

$$\frac{(v_i^* \sin(m_i^*) - (v_j^* \sin(m_j^*)))}{(v_i^* \cos(m_i^*) - (v_j^* \cos(m_j^*)))} \leq \tan(g_{ij})$$



No conflict constraint (aircraft at same altitude level)

$$\frac{(v_i^* + \nu_i) \sin(m_i^*) - (v_j^* + \nu_j) \sin(m_j^*)}{(v_i^* + \nu_i) \cos(m_i^*) - (v_j^* + \nu_j) \cos(m_j^*)} \geq \tan(l_{ij})$$

OR

$$\frac{(v_i^* + \nu_i) \sin(m_i^*) - (v_j^* + \nu_j) \sin(m_j^*)}{(v_i^* + \nu_i) \cos(m_i^*) - (v_j^* + \nu_j) \cos(m_j^*)} \leq \tan(g_{ij})$$



No conflict constraint (aircraft at same altitude level)

$$\frac{(v_i^* \sin(m_i^* + \mu_i) - v_j^* \sin(m_j^* + \mu_j))}{(v_i^* \cos(m_i^* + \mu_i) - v_j^* \cos(m_j^* + \mu_j))} \geq \tan(l_{ij})$$

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The infeasibility condition for a pair of aircraft flying at the same altitude level when there is no null denominator is:

$$\tan(g_{ij}) \leq \frac{(v_i + \nu_i) \sin(m_i + \mu_i) - (v_j + \nu_j) \sin(m_j + \mu_j)}{(v_i + \nu_i) \cos(m_i + \mu_i) - (v_j + \nu_j) \cos(m_j + \mu_j)} \leq \tan(l_{ij})$$



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whereas when there is a null denominator is:

$$-\cot(g_{ij}) \leq \frac{(v_i + \nu_i) \sin(m_i + \mu_i + \pi/2) - (v_j + \nu_j) \sin(m_j + \mu_j + \pi/2)}{(v_i + \nu_i) \cos(m_i + \mu_i + \pi/2) - (v_j + \nu_j) \cos(m_j + \mu_j + \pi/2)} \leq -\cot(l_{ij})$$



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And, the objective functions are:

$$\min \sum_{f \in \mathcal{F}} |\nu_f| \quad \min \sum_{f \in \mathcal{F}} |\mu_f| \quad \min \sum_{f \in \mathcal{F}} |\gamma_f|$$



So, the penalty cost function is composed of the objective function and the following one (infeasibility condition):

$$g(\nu, \mu, \gamma) = \begin{cases} \sum_{i < j \in \mathcal{F}} \max \{0, \min\{\tan(l_{ij}) - t_{ij}, t_{ij} - \tan(g_{ij})\}\} & \text{if } cp_{ij} = 0 \text{ and} \\ & z_i + \gamma_i = z_j + \gamma_j \\ \sum_{i < j \in \mathcal{F}} \max \{0, \min\{-\cot(l_{ij}) - t'_{ij}, t'_{ij} + \cot(g_{ij})\}\} & \text{if } cp_{ij} = 1 \text{ and} \\ & z_i + \gamma_i = z_j + \gamma_j \end{cases}$$



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where:

$$t_{ij} = \frac{(v_i + \nu_i) \sin(m_i + \mu_i) - (v_j + \nu_j) \sin(m_j + \mu_j)}{(v_i + \nu_i) \cos(m_i + \mu_i) - (v_j + \nu_j) \cos(m_j + \mu_j)}$$

$$t'_{ij} = \frac{(v_i + \nu_i) \sin(m_i + \mu_i + \pi/2) - (v_j + \nu_j) \sin(m_j + \mu_j + \pi/2)}{(v_i + \nu_i) \cos(m_i + \mu_i + \pi/2) - (v_j + \nu_j) \cos(m_j + \mu_j + \pi/2)}$$



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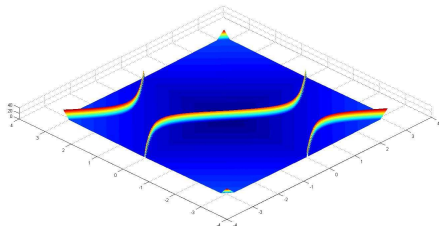
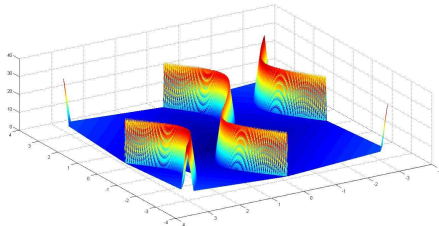
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Penalty cost function

$$f(\nu, \mu, \gamma) = \min \{ Mg(\nu, \mu, \gamma) + \text{objective function} \}$$





The following data structure is used in our VNS approach:

1. Aircraft configuration matrix (for each aircraft: velocity, heading angle, altitude level, abscissa, ordinate, maximum and minimum maneuvers allowed).
2. Solution matrix (for each aircraft: velocity, heading angle and altitude level).
3. Auxiliar matrices:
 - ▶ Parameter cp_{ij} .
 - ▶ TL , TG , CTL and CTG .
 - ▶ A (penalty function).

Algorithm 1: Updating matrix A

Function Updating($j, A, \nu, \mu, \gamma, V, T, Z, CP, TL, TG,$
 CTL, CTG);

$i = 0$;

repeat

if $CP(i, j) = 0$ and $Z_i + \gamma_i = Z_j + \gamma_j$ **then**

 Use T, TL and TG to calculate A_{ij}
 by using g ;

else

if $CP(i, j) = 1$ and $Z_i + \gamma_i = Z_j + \gamma_j$ **then**

 Use $T + \pi/2, CTL$ and CTG to
 calculate A_{ij} by using g ;

else

$A_{ij} = 0$;

end

end

$i = i + 1$;

until $i = n$;



The main features of the local search (**intensification** phase) are:

- ▶ It is based on first improvement instead of best improvement to obtain a feasible solution as soon as possible. We have tried with best improvement but the solution quality was not different.
- ▶ Each aircraft changes its angle of motion ang and $-ang$ radians; its velocity vel and $-vel$ nm/h; its altitude level alt and $-alt$ until no solution improvement.
- ▶ When a solution is improved, the parameters of the problem must be updated.

Algorithm 2: First improvement local search for the CDR problem

```

Function FirstImprovement( $\nu, \mu, \gamma, vel, ang, alt,$ 
 $A, V, T, Z, CP, TL, TG, CTL, CTG$ );
 $k = 1$ ;
repeat
   $j = 1$ ;
  repeat
    Move aircraft  $j$  by  $vel$  nm/h if  $k = 1$ ;
    Move aircraft  $j$  by  $ang$  rads. if  $k = 2$ ;
    Move aircraft  $j$  by  $alt$  levels if  $k = 3$ ;
    if  $f(x) < f(x')$  then
       $j = 0$ ;  $x' = x$ ;
    else
      Move aircraft  $j$  by  $-vel$  nm/h if  $k = 1$ ;
      Move aircraft  $j$  by  $-ang$  rads. if  $k = 2$ ;
      Move aircraft  $j$  by  $-alt$  levels if  $k = 3$ ;
      if  $f(x) < f(x')$  then
         $j = 0$ ;  $x' = x$ ;
      else
         $j = j + 1$ ;
      end
    end
  until  $j > n$ ;
until  $k > 3$ ;
Updating( $j, A, \nu, \mu, \gamma, V, T, Z, CP, TL, TG, CTL, CTG$ )

```



The shaking procedure (**diversification** phase) consists of

- ▶ Parameter k determines both, number of aircraft to consider and corresponding maneuver to modify.
- ▶ $n/4$ aircraft are candidates to change any maneuver.
- ▶ Randomly, the sign of the corresponding maneuver is chosen.

Algorithm 3: Shaking for the CDR problem

Function *Shaking*($\nu, \mu, \gamma, vel, ang, alt, A, V, M, CP, TL, TG, CTL, CTG$);
 $nn \leftarrow k \bmod n/4$;
 $u_1 = Rand(0, 1); u_2 = Rand(0, 1)$;
 $u_3 = \lceil 3 \cdot Rand(0, 1) \rceil$;
 $vel \leftarrow u_1 k, ang \leftarrow u_1 k, alt \leftarrow \lceil u_1 k \rceil$;
 $j = 0$;
repeat
 if $u_2 < 0.5$ **then**
 Move aircraft j by vel nm/h if $u_3 = 1$;
 Move aircraft j by ang rads. if $u_3 = 2$;
 Move aircraft j by alt levels if $u_3 = 3$;
 else
 Move aircraft j by $-vel$ nm/h if $u_3 = 1$;
 Move aircraft j by $-ang$ rads. if $u_3 = 2$;
 Move aircraft j by $-alt$ levels if $u_3 = 3$;
 end
 Updating($j, A, \nu, \mu, \gamma, V, T, Z, CP, TL, TG, CTL, CTG$);
 $j \leftarrow j + 1$;
until $j = nn$;

Algorithm 4: Steps of the VNS for the CDR problem

```

Function VNS ( $x, k_{max}, t_r, t_{max}$ );
Calculate CP, TL, TG, CTL, CTG, A ;
FirstImprovement( $\nu, \mu, \gamma, vel, ang, alt, A, V, T, Z, CP,$ 
 $TL, TG, CTL, CTG$ ) ;
repeat
|    $k \leftarrow 1$ ;
|   repeat
|   |    $x' \leftarrow \text{Shake}(x, k)$            /* Shaking */;
|   |    $x'' \leftarrow \text{FirstImprovement}(\nu', \mu', \gamma', vel, ang,$ 
|   |    $alt, A, V, T, Z, CP, TL, TG, CTL, CTG)$ ;
|   |   if  $f(x'') < f(x)$  then
|   |   |    $x \leftarrow x'$ ;  $k \leftarrow 1$  /* Make a move */;
|   |   |    $t_{li} \leftarrow \text{CpuTime}()$  ;
|   |   else
|   |   |    $k \leftarrow k + 1$  /* Next neighborhood */;
|   |   end
|   |    $t \leftarrow \text{CpuTime}()$ ;
|   until  $k = k_{max}$ ;
|   if  $t - t_{li} > t_r$  then
|   |   break;
|   end
until  $t > t_{max}$ ;
    
```



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- ▶ Lexicographic Goal Programming.
- ▶ Compromise Programming.
- ▶ Compromise Programming combining l_1 and l_∞ distances.



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- ▶ Compromise Programming.
- ▶ Compromise Programming combining l_1 and l_∞ distances.

All of them need the *pay-off matrix*.



Ideal value

An **ideal** value for a single objective function is the best possible value when that objective is optimized subject to the corresponding set of constraints.



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Non-ideal value

A **nonideal** value is the worst value for a single objective function when optimizing another objective.

- ▶ It is a $n \times n$ where n is the number of objective functions.
- ▶ In the diagonal the **ideal** values are presented.
- ▶ In the rest of positions the **non-ideal** values are presented.



- ▶ Introduced by Chames et al. (1955).
- ▶ GP sequentially solves optimization problems for each objective function.
- ▶ There is a priority order.



Our priority order is to minimize altitude level, heading angle and velocity changes to meet comfort ¹.

1. Optimizing AC.

¹Following the guidelines in Cetek (2009), Realistic speed change maneuvers for air traffic conflict avoidance and their impact on aircraft economics.



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1. Optimizing AC.
2. Optimizing TC together with the following additional constraint,

$$\sum_{f \in \mathcal{F}} c_f^\gamma \gamma_f \leq z_a^* + \lceil \varepsilon(z_a^{**} - z_a^*) \rceil$$

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$$\sum_{f \in \mathcal{F}} c_f^\gamma \gamma_f \leq z_a^* + \lceil \varepsilon(z_a^{**} - z_a^*) \rceil$$

3. Optimizing VC together with the previous additional constraint and the following one,

$$\sum_{f \in \mathcal{F}} c_f^\mu |\mu_f| \leq z_t^* + \varepsilon(z_t^{**} - z_t^*)$$

¹Following the guidelines in Cetek (2009), Realistic speed change maneuvers for air traffic conflict avoidance and their impact on aircraft economics.



- ▶ Introduced by Cochrane and Zeleny (1973).
- ▶ The decision maker prefers a solution as much closer as possible to the ideal value.
- ▶ A distance is minimized in the objective function.



1. Solves the VTAC model with the following objective function (minimizing the l_1 distance):

$$\min \rho_v \frac{\sum_{f \in \mathcal{F}} c_f^\nu |\nu_f| - z_v^*}{z_v^{**} - z_v^*} + \rho_t \frac{\sum_{f \in \mathcal{F}} c_f^\mu |\mu_f| - z_t^*}{z_t^{**} - z_t^*} + \rho_a \frac{\sum_{f \in \mathcal{F}} c_f^\gamma \gamma - z_a^*}{z_a^{**} - z_a^*}$$



- ▶ Introduced by Escudero (1995).
- ▶ It tries to bound the maximum deviation with respect to the ideal value.
- ▶ It consists on two steps.
 1. Minimizing l_∞ distance.
 2. Minimizing l_1 distance avoiding higher deviations than the obtained in the previous step.



1. Solves the VTAC model with the following objective function (minimizing the l_∞ distance):

$$\min \max \left\{ \frac{\sum_{f \in \mathcal{F}} c_f^\nu |\nu_f| - z_v^*}{z_v^{**} - z_v^*}, \frac{\sum_{f \in \mathcal{F}} c_f^\mu |\mu_f| - z_t^*}{z_t^{**} - z_t^*}, \frac{\sum_{f \in \mathcal{F}} c_f^\gamma |\gamma_f| - z_a^*}{z_a^{**} - z_a^*} \right\}$$

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2. Solves the VTAC model with the following objective function (minimizing the l_1 distance) avoiding deviations higher than the one obtained in the previous step:

$$\min \rho_v \frac{\sum_{f \in \mathcal{F}} c_f^\nu |\nu_f| - z_v^*}{z_v^{**} - z_v^*} + \rho_t \frac{\sum_{f \in \mathcal{F}} c_f^\mu |\mu_f| - z_t^*}{z_t^{**} - z_t^*} + \rho_a \frac{\sum_{f \in \mathcal{F}} c_f^\gamma \gamma - z_a^*}{z_a^{**} - z_a^*}$$



Introduction

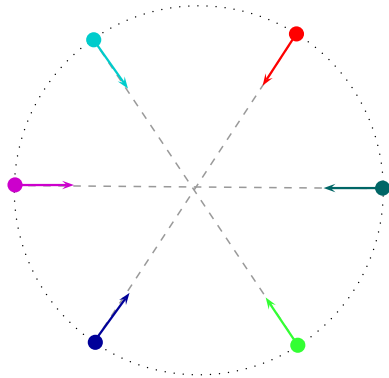
Variable Neighborhood Search (VNS)

The Velocity, Turn and Altitude Changes model (VTAC)

Multi-objective methods

Computational experience

Conclusions and future research





Instance		Minotaur						Gap VNS					
Case	nc	z_v^*	z_t^*	z_a^*	z_v^{**}	z_t^{**}	z_a^{**}	g_v^*	g_t^*	g_a^*	g_v^{**}	g_t^{**}	g_a^{**}
C2-4	1	0.0000	0.0048	0	9.0000	0.0050	1	0.00	-4.17	0.00	-0.89	38.00	0.00
C3-4	3	0.0000	0.0000	0	2.0010	0.0144	3	0.00	0.00	0.00	4.33	21.53	0.00
C4-4	6	0.0000	0.0096	0	17.3330	0.0191	6	0.00	23.96	0.00	-82.82	5.76	0.00

Table: Ideal and non-ideal values

Instance		Minotaur						VNS					
Case		t_v^*	t_t^*	t_a^*	t_v^{**}	t_t^{**}	t_a^{**}	t_v^*	t_t^*	t_a^*	t_v^{**}	t_t^{**}	t_a^{**}
C2-4		0.56	0.08	0.02	0.03	0.03	0.03	0.00	0.00	0.00	1.12	0.00	0.00
C3-4		0.17	0.13	0.04	0.09	0.08	0.46	0.00	0.01	0.00	0.04	0.00	1.05
C4-4		71.27	1.09	0.10	1.20	0.21	4.78	0.00	2.12	0.00	1.62	0.00	1.30

Table: Computing times (in seconds)

4xIntel Core i5-2430M, 2.40 GHz, 8Gb RAM, Xubuntu 14.04 OS

Gaps obtained as: $\frac{z_{VNS} - z_M}{z_M} \cdot 100\%$



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Conclusions:

- ▶ A Variable Neighborhood Search algorithm has been presented.
- ▶ Conflict situations are solved by performing the three maneuvers.
- ▶ The local search and shaking phases are based on angle and velocity discretization (altitude level changes are discrete).
- ▶ A multi-objective framework is presented to provide different methods that could be applied to choose solutions when economic or comfort terms are preferred.



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Future research:

- ▶ Integrate VNS and Multi-objective.
- ▶ Validation of the VNS approach in an extensive computational experiment.
- ▶ Refine the local search as well as the shaking phase of the algorithm.



Thanks a lot for your attention!



7th International Conference on Research in Air Transportation

A Variable Neighborhood Search approach for the aircraft conflict resolution problem

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